

Marc E. Austin, CPA, CFP®

Private Capital Group, LLC

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This brochure supplement provides clients with information about Marc E. Austin that supplements the Private Capital Group, LLC disclosure brochure. You should have received a copy of the Private Capital Group, LLC disclosure brochure. Please contact Wendy Kelly at 860-561-1162 if you did not receive a copy of the Private Capital Group, LLC disclosure brochure or if you have any questions about the contents of this brochure supplement. Additional information about Marc E. Austin is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Individuals associated with Private Capital Group, LLC (“PCG”) must meet certain standards set forth by the firm. Generally, PCG requires associated persons to have a college degree or a minimum four years related experience, have displayed a high degree of integrity in previous business background, have high standards of morals and ethics and be committed to providing quality financial advice.

Marc E. Austin, CFP® (Born: 1961)

Education

BS in Accounting, Quinnipiac University (1983)

Business Background

Investment Adviser Representative, Private Capital Group, LLC (05/2004 to Present)

Registered Representative, NFP Securities, Inc. (04/2004 – Present)

Investment Adviser Representative and Registered Representative, Lincoln Financial Advisors Corporation (06/1998 – 04/2004)

Professional Licenses/Designations

Series 6 – Investment Company Products/Variable Contracts Representative Examination (1990)

Series 7 – General Securities Representative Examination (1997)

Series 22 – Direct Participation Programs Representative Examination (1990)

Series 63 - Uniform Securities Agent State Law Examination (1991)

Series 65 – Uniform Investment Adviser Law Examination (1997)

CERTIFIED FINANCIAL PLANNER™ (CFP®) (1993)

Important Information about the CERTIFIED FINANCIAL PLANNER™ (CFP®) Designation:

The CERTIFIED FINANCIAL PLANNER™ (CFP®) certification represents proven expertise within the financial planning profession. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates for the CFP® designation must pass a certification exam administered by the Certified Financial Planner Board of Standards Inc. that focuses on over 100 topics of concern to the financial planning field, such as retirement, estate, and investment planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience (three years full-time or equivalent part-time experience in the financial planning field) and agree to adhere to the CFP® Board's code of ethics and professional responsibility and financial planning standards.

DISCIPLINARY INFORMATION

Mr. Austin has no legal or disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Marc Austin is also registered representatives of NFP Securities, Inc. (“NFP”), a FINRA-registered broker-dealer. As a registered representative of NFP, Mr. Austin may receive commissions on securities transactions. This presents the possible incentive of trading securities to increase compensation. To the extent that clients wish Mr. Austin to implement any recommendations made by PCG, the purchase or sale of any securities in conjunction with the implementation of such recommendations is made through NFP. Clients are free to implement PCG’s recommendations through any broker-dealer that they choose.

Mr. Austin is also licensed to sell insurance products. As such, Mr. Austin is able to implement product transactions for which he will receive separate yet typical compensation. While Mr. Austin endeavors at all times to put the interest of PCG’s clients first as part of his fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the Mr. Austin’s judgment when making recommendations.

Mr. Austin is not engaged in any other business or occupation for compensation that provides a substantial source of his income or involves a substantial amount of his time.

ADDITIONAL COMPENSATION

Mr. Austin does not receive any additional compensation for providing advisory services.

SUPERVISION

The individual responsible for monitoring Mr. Austin’s advisory activities is Benjamin Kille, a Managing Member and Chief Compliance Officer of PCG. Mr. Kille reviews personal securities transactions made by Mr. Austin. The controlling documents for supervision are the PCG Compliance Manual and Code of Ethics. Mr. Kille may be reached at 860-561-1162.