

Neil T. Hartzog, CMFC®

Private Capital Group, LLC

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January 1, 2011

This brochure supplement provides clients with information about Neil T. Hartzog that supplements the Private Capital Group, LLC disclosure brochure. You should have received a copy of the Private Capital Group, LLC disclosure brochure. Please contact Wendy Kelly at 860-561-1162 if you did not receive a copy of the Private Capital Group, LLC disclosure brochure or if you have any questions about the contents of this brochure supplement. Additional information about Neil T. Hartzog is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Individuals associated with Private Capital Group, LLC (“PCG”) must meet certain standards set forth by the firm. Generally, PCG requires associated persons to have a college degree or a minimum four years related experience, have displayed a high degree of integrity in previous business background, have high standards of morals and ethics and be committed to providing quality investment advice.

Neil T. Hartzog, CMFC® (Born: 1970)

Education

Manchester Community College, Associate’s Degree in Accounting
Central Connecticut State University, BS in Finance (1995)

Business Background

Investment Adviser Representative, Private Capital Group, LLC (2005 – Present)
Registered Representative, NFP Securities, Inc. (2005 – Present)
Registered Representative, ING Financial Partners (2003 – 2005)
Registered Representative, Waddell & Reed, Inc. (1999 – 2003)

Professional Licenses/Designations

Series 6 – Investment Company Products/Variable Contracts Representative Examination (1998)
Series 7 – General Securities Representative Examination (2001)
Series 24 – General Securities Principal Examination (2005)
Series 51 – Municipal Fund Securities Principal Examination (2006)
Series 63 - Uniform Securities Agent State Law Examination (1998)
Series 65 – Uniform Investment Adviser Law Examination (1999)
Chartered Mutual Fund Counselor® (CMFC®) (2001)

Important Information about the Chartered Mutual Fund Counselor® (CMFC®) Designation:

Individuals who hold the Chartered Mutual Fund Counselor® CMFC® designation has completed a course of study encompassing all aspects of mutual funds and their uses as investment vehicles. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. All CMFC® designees have agreed to adhere to Standards of Professional Conduct and are subject to a disciplinary process. CMFC® designees renew their designation every two-years by completing 16 hours of continuing education, reaffirming adherence to the Standards of Professional Conduct and complying with self-disclosure requirements.

DISCIPLINARY INFORMATION

Mr. Hartzog has no legal or disciplinary events to report.

OTHER BUSINESS ACTIVITIES

Mr. Hartzog is also registered representative of NFP Securities, Inc. (“NFP”), a FINRA-registered broker-dealer. As a registered representative of NFP, Mr. Hartzog may receive commissions on securities transactions. This presents the possible incentive of trading securities to increase compensation. To the extent that clients wish Mr. Hartzog to implement any recommendations made by PCG, the purchase or sale of any securities in conjunction with the implementation of such recommendations is made through NFP. Clients are free to implement PCG’s recommendations through any broker-dealer that they choose.

Mr. Hartzog is also licensed to sell insurance products. As such, Mr. Hartzog is able to implement product transactions for which he will receive separate yet typical compensation. While Mr. Hartzog endeavors at all times to put the interest of PCG’s clients first as part of his fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect Mr. Hartzog’s judgment when making recommendations.

Mr. Hartzog is not engaged in any other business or occupation for compensation that provides a substantial source of his income or involves a substantial amount of his time.

ADDITIONAL COMPENSATION

Mr. Hartzog does not receive any additional compensation for providing advisory services.

SUPERVISION

The individual responsible for monitoring Mr. Hartzog’s advisory activities is Benjamin Kille, a Managing Member of PCG. Mr. Kille reviews personal securities transactions made by Mr. Hartzog. The controlling documents for supervision are the PCG Compliance Manual and Code of Ethics. Mr. Kille may be reached at 860-561-1162.